

**TRANSMITTAL  
FORM**

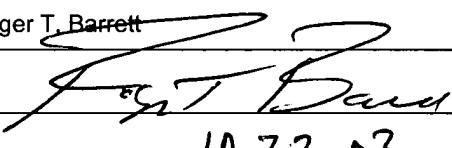
(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/632,602
		Filing Date	August 1, 2003
		First Named Inventor	Casey, Steven M.
		Art Unit	
		Examiner Name	not yet known
Total Number of Pages in This Submission		Attorney Docket Number	020366-091200US

**ENCLOSURES (Check all that apply)**

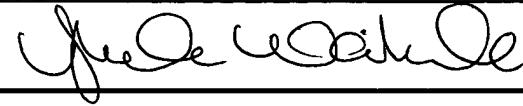
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="margin-left: 20px;">Return Postcard, copy of one (1) cited reference</div>
<span style="border: 1px solid black; padding: 2px;">Remarks</span>		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Townsend and Townsend and Crew LLP Roger T. Barrett	
Signature		
Date	Reg. No. 41,599  10-23-03	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Nicole M. Wartell		
Signature		Date	10/23/03

**PATENT**

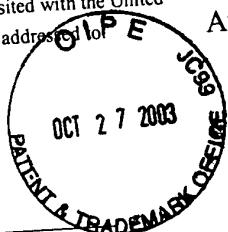
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P.O. Box 1450  
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On OCT 23, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: Nicole M. Wartell  
Nicole M. Wartell



Attorney Docket No.: 020366-091200US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Steven M. Casey et al.

Application No.: 10/632,602

Filed: August 1, 2003

For: SYSTEMS AND METHODS FOR  
CONTROLLED TRANSMITTANCE IN  
A TELECOMMUNICATION SYSTEM

Examiner: not yet known

Art Unit:

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. U.S. patents and U.S. patent applications are not enclosed in accordance with the Patent Office waiver issued August 5, 2003 in the Official Gazette, which states as follows:

The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent

applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b).

In accordance with 37 CFR 1.98(a)(2), a copy of the non-patent literature reference is enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicants also wish to make the Examiner aware of the following co-pending patent applications:

<u>Attorney Docket No.</u>	<u>Serial No.</u>	<u>Date Filed</u>
020366-087700US	10/356,364	01/31/2003
020366-087710US	10/652,718	08/28/2003
020366-088800US	10/377,283	02/27/2003
020366-088900US	10/377,290	02/27/2003
020366-089000US	10/377,282	02/27/2003
020366-089100US	10/367,596	02/14/2003
020366-089200US	10/377,281	02/27/2003
020366-089300US	10/391,518	03/17/2003
020366-089400US	10/444,941	05/22/2003
020366-089500US	10/624,454	07/21/2003
020366-089600US	10/367,597	02/14/2003
020366-089700US	10/448,249	05/29/2003
020366-089900US	10/377,584	02/27/2003
020366-090100US	10/377,280	02/27/2003
020366-090200US	10/356,688	01/31/2003
020366-090300US	10/356,338	01/31/2003
020366-091100US	10/452,996	06/02/2003

020366-091300US	10/625,863	07/22/2003
020366-091400US	10/644,667	08/19/2003
020366-091500US	10/445,275	05/23/2003
020366-091600US	10/611,324	06/30/2003
020366-092000US	10/632,661	08/01/2003
020366-092100US	10/652,719	08/28/2003
020366-092300US	10/676,429	09/30/2003

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Roger T. Barrett  
Reg. No. 41,599

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60065055 v1



Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

		Complete if Known	
Application Number	10/632,602		
Filing Date	August 1, 2003		
First Named Inventor	Casey, Steven M.		
Art Unit			
Examiner Name	not yet known		
Attorney Docket Number	020366-091200US		

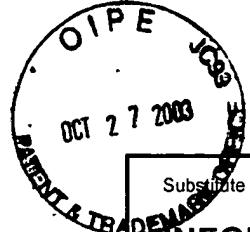
## U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,202,765	04-13-1993	Lineberry	
	A2	US-5,740,075	04-14-1998	Bigham et al.	
	A3	US-5,784,683	07-21-1998	Sistanizadeh et al.	
	A4	US-5,923,379	07-13-1999	Patterson	
	A5	US-5,971,921	10-26-1999	Timbel	
	A6	US-5,983,068	11-09-1999	Tomich et al.	
	A7	US-6,209,025 B1	03-27-2001	Bellamy	
	A8	US-6,282,189 B1	08-28-2001	Eames	
	A9	US-2003/0026416 A1	02-06-2003	Fusco	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60065055 v1



Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

				<b>Complete if Known</b>
<i>Application Number</i>	10/632,602			
<i>Filing Date</i>	August 1, 2003			
<i>First Named Inventor</i>	Casey, Steven M.			
<i>Art Unit</i>				
<i>Examiner Name</i>	not yet known			

Sheet

2

of

2

Attorney Docket Number

020366-091200US

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	FRANK, EDWARD and HOLLOWAY, JACK; "Connecting the Home with a Phone Line Network Chip Set", IEEE Micro, March-April 2000, pp. 2-14.	
	C2		

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.